

9/29/04

3763

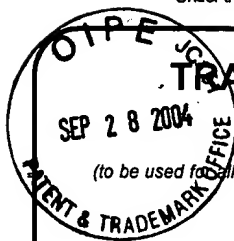
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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	09/070,269	
Filing Date	April 30, 1998	
First Named Inventor	Derek J. Harper	
Art Unit	3763	
Examiner Name	Kontos, Lina R.	
Total Number of Pages in This Submission	Attorney Docket Number	P-7726 (11738.00078)

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1 Reference Courtesy Copy of European Examination Report Certificate of Express Mailing Return Receipt Postcard
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Remarks

Being filed via Express Mail No.: EV363911046US

The Commissioner is authorized to debit or credit any overpayment or deficiency to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Robert H. Resis Reg. No. 32,168
Signature	
Date	September 28, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

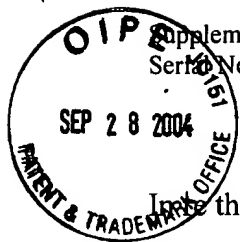
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Supplemental Information Disclosure Statement  
Serial No. 09/070,269

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Derek J. Harper**

Serial No.: 09/070,269

Filed: April 30, 1998

For: A Device Used to Connect an External  
Ventricular Drainage Catheter

Atty. Docket No.: P-7726  
(11738.00078)

Group Art Unit: 3763

Examiner: Kontos, Lina R.

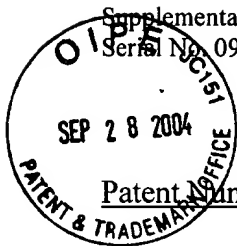
Confirmation No.: 8269

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A copy of the non-U.S. Patent reference cited below is enclosed along with a completed PTO/SB/08A form. These references were cited in a European Examination Report, dated March 17, 2004 in a corresponding European application No. 99 918 485.6. The other two references cited in the European Examination Report, i.e. U.S. Patent Nos. 4,161,177 and 3,906,946, are already of record in the present U.S. Application. A courtesy copy of the European Examination Report is enclosed herein.

This Information Disclosure Statement is filed Pursuant to 37 C.F.R. § 1.97(b) prior to the mailing date of a first office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant believes there is no fee due for filing this Information Disclosure Statement.



**U.S. PATENT DOCUMENTS**

<u>Patent Number</u>	<u>Inventor(s)</u>	<u>Issue Date</u>
1. 5,356,381	Ensminger et al.	10-18-1994

**FOREIGN PATENT DOCUMENTS**

<u>Document Number</u>	<u>Country</u>	<u>Publication Date</u>
2. FR 1.336.357	France	08-30-1963

The present Disclosure Statement is being submitted in compliance with 37 C.F.R. 1.56 as an Examiner might consider the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of such documents is not to be construed as an admission that such documents are necessarily relevant or prior art. No representation is intended that the cited document represents the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent, and in the course of such search, will review for relevance the document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: September 28, 2004

By:

Robert H. Resis  
Reg. No. 32,168

PTO/SB/08a (08-03)

**CERTIFICATE OF MAILING**  
**(PATENT APPLICATION)**

Express Mail No. EV363911046US  
Deposited: September 28, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria Virginia 22313-1450.

By: \_\_\_\_\_

Application of: Derek J. Harper

Application No.: 09/070,269

Filing Date: April 30, 1998

Title: A Device Used To Connect An External Ventricular Drainage Catheter

Transmitted herewith are the following documents:

- X Transmittal Form, 1 page (in duplicate)
- X Information Disclosure Statement (2 pages)
- X Form PTO/SB/08a (1 Page)
- X 1 Foreign Reference
- X Certificate of Express Mail
- X Return Receipt Postcard

Attorney Case No.: P-7726 (11738.00078)